

# Riigikogu Elections 2019

Estonia also votes with paper ballots

Priit Vinkel, PhD
Head of State Electoral Office

March 2nd 2019



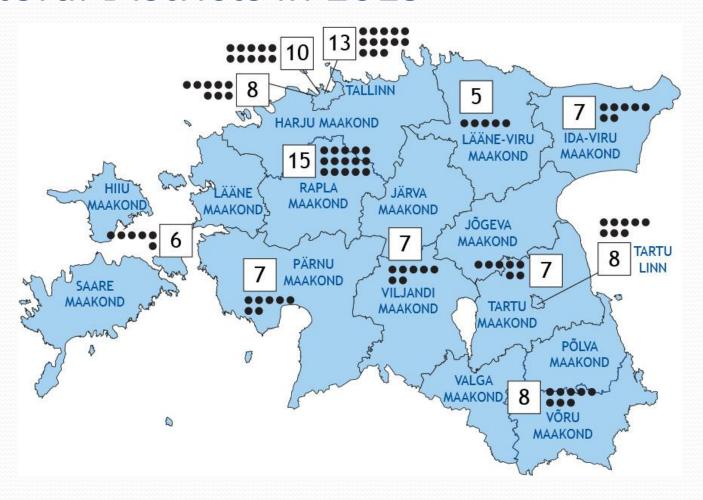
# Voting schedule in Estonia

#### RIIGIKOGU (PARLIAMENTAL) ELECTIONS 2019 Th Sa Su Mo We Tu Fr Sa Su 21.02 | 22.02 | 23.02 | 24.02 | 25.02 | 26.02 | 27.02 | 28.02 | 01.03 03.03.2019 02.03 ADVANCE VOTING **ELECTION DAY** Advance voting Voting at Voting at voting districts in county towns voting districts 9 a.m. - 8 p.m. 12 a.m - 8 p.m. 12 a.m - 8 p.m. No voting Voting Online voting at home ... - 6 p.m. 9 a.m. - ...

Abroad voting: two days in ~40 locations with ballot + postal voting



## **Electoral Districts in 2019**





## 2019 Riigikogu Elections in Numbers

- Candidates 1099
- Party lists 10 (8 full lists with 125 candidates)
- Independent candidates 15
- Voters ~880 000 in Estonia and ~77 000 abroad



### 2019 Riigikogu Elections Management in Numbers

- Polling stations 451
- Local polling station staff 4000 +
- Municipalities/Local election managers 79
- Logistical managers 14 in counties + 16 around
   Tallinn



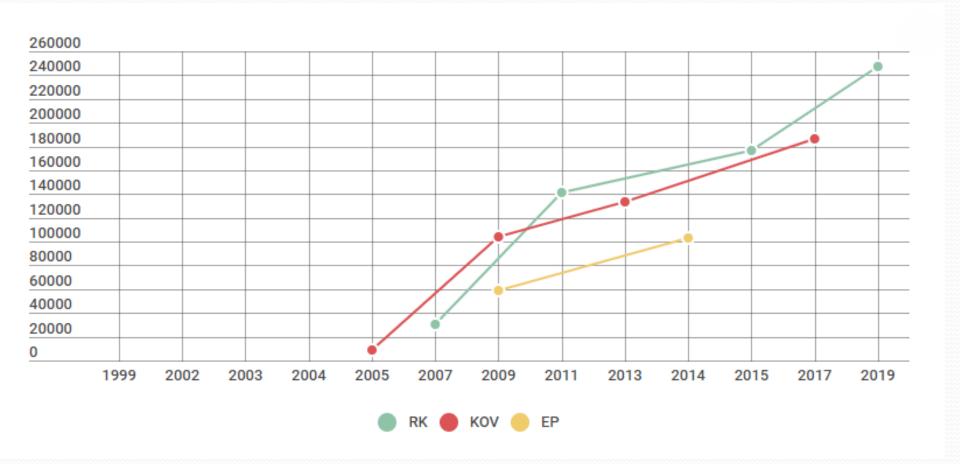
# **Voting Turnout Abroad**

- Total number 1726
- By mail 253
- In embassies/consulates1473

- **•**Top 5
- Finland 778
- London 135
- Brussels 130
- Stockholm 118
- Toronto 102



## 247 232 voters





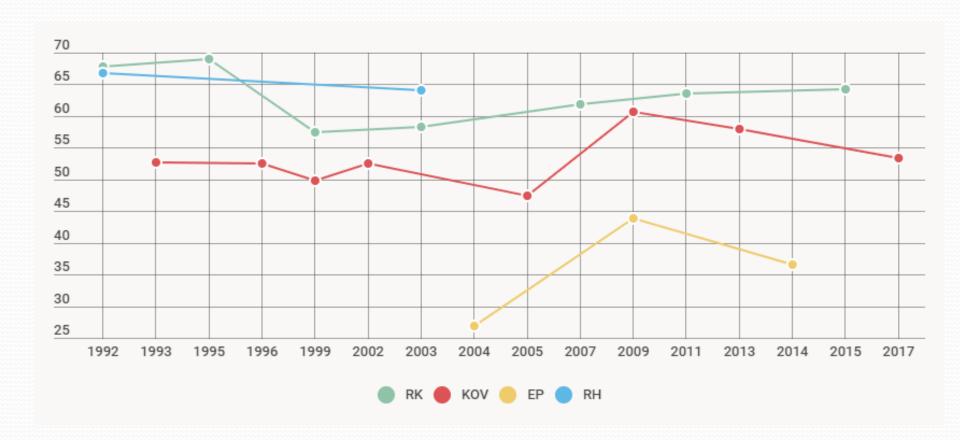
## **Internet Voting Statistics**

- Gender
- •M 45,5 %
- •F 54,5%
- ■E-ID
- •ID-card 69,2%
- Digi-ID 1,6%
- •Mobile-ID 29,2%
- Operational System
- •Linux 0,7%
- •Mac 9,9%
- •Windows 89,4%

- •Top 5 countries (besides EST)
- •FIN 4251
- •SWE 1426
- •GBR 974
- •GER 822
- •ESP 696
- Total number of countries (6,3% of I-votes)
- 145 (previous record 116)

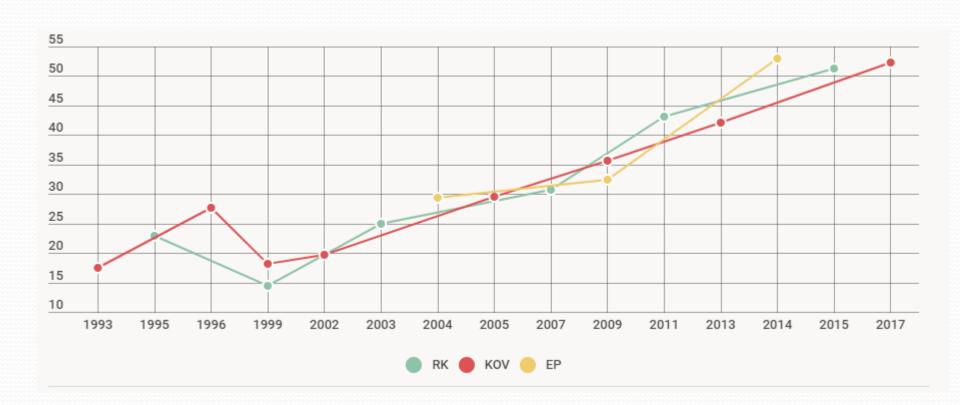


## **General Turnout**



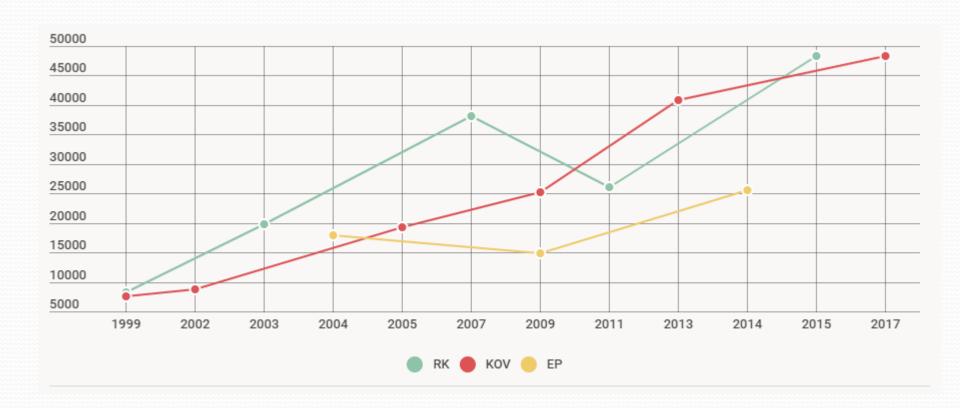


## Share of advance votes (I+P) – close to 60%?



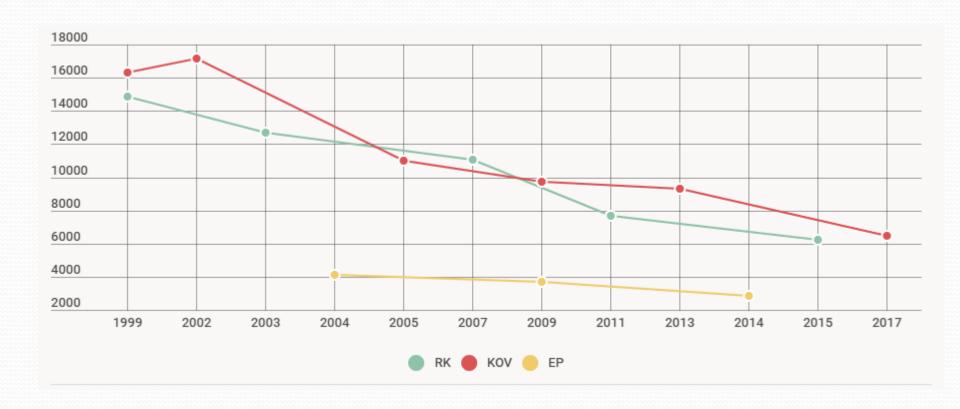


# Voting Into Envelopes – 40 062

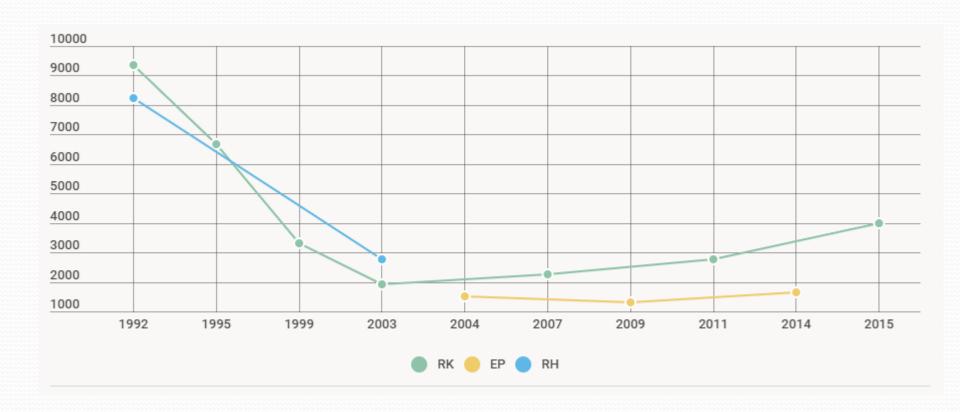




# Voting at home (by paper on Election Day)



# Voters permanently abroad (I+P) – 4347+2104





# Main current topics

- Two-for-one elections in 2019
- The importance of guaranteeing election integrity (both organizational and public awareness)
- Ongoing importance of cyber security of ICT in elections



### **Future of Estonian Elections**

- Introduction of electronic voters' lists in 2021
- Revamping the electoral infosystem by 2021
- Discussion on I-voting channels (smart devices)
- Internet Voting as a general governmental service



# Thank you!

Questions?

# Cyber Resilience of Democratic Systems

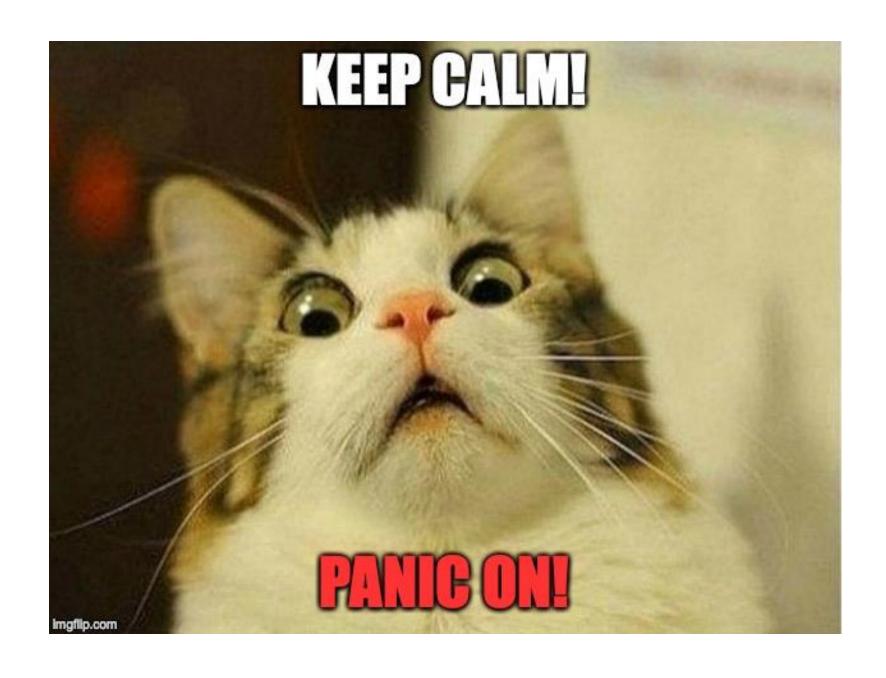
Liisa Past

McCain Institute, Next Generation Leader
Estonian Parliamentary Elections
March 2019

### CYBER SECURITY OF ELECTIONS

- NEVER ready
- NOT possible
- NEVER in isolation
- NOT a technical problem

BUT we need to act now



### CONSTITUTIONAL ISSUE

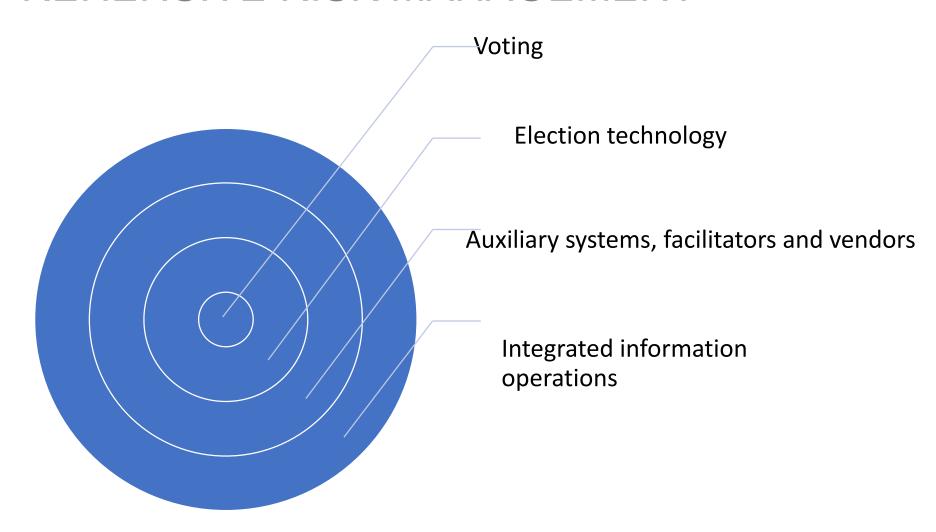
• Free, fair, open elections based on secret ballot

"Elections are general, uniform and direct. Voting is secret." Constitution of the Republic of Estonia, § 60

## **ECOSYSTEM APPROACH**



## COMPREHENSIVE RISK MANAGEMENT





### Compendium on Cyber Security of Election Technology

CG Publication 03/2018

**NIS Cooperation Group** 

July 2018

Phase(s)	Assets	Examples of Threats
Setup	Party/candidate registration	<ul> <li>tampering with registrations;</li> <li>DoS or overload of party/campaign registration, causing them to miss the deadline;</li> <li>fabricated signatures from sponsor.</li> </ul>
Setup	Electoral rolls	<ul> <li>identity fraud during voter registration;</li> <li>Deleting or tampering with voter data;</li> <li>DoS or overload of voter registration system, suppressing voters.</li> </ul>
Campaign	Campaign IT	<ul> <li>hacking candidate laptops or email accounts;</li> <li>hacking campaign websites (defacement, DoS);</li> <li>misconfiguration of a website;</li> <li>leak of confidential information.</li> </ul>
All phases	Government IT	<ul> <li>hacking/misconfiguration of government servers, communication networks, or endpoints;</li> <li>hacking government websites, spreading misinformation on the election process, registered parties/candidates, or results;</li> <li>DoS or overload of government websites.</li> </ul>
Voting	Election technology	<ul> <li>tampering or DoS of voting and/or vote confidentiality during or after the elections;</li> <li>software bug altering election results;</li> <li>tampering with logs/journals;</li> <li>breach of voter privacy during the casting of votes;</li> <li>tampering, DoS, or overload of the systems used for counting or aggregating results;</li> <li>tampering or DoS of communication links used to transfer (interim) results;</li> <li>tampering with supply chain involved in the movement or transfer of data.</li> </ul>
Campaign,	Media/press	<ul> <li>hacking of internal systems used by media or press;</li> <li>tampering, DoS, or overload of media communication links;</li> </ul>

### INEVITABLY: ADVERSARY

- Seeks to undermine democracy
- Reactive and opportunistic
- Well resourced
- Patient
- All and any tools and targets

### WAY FORWARD

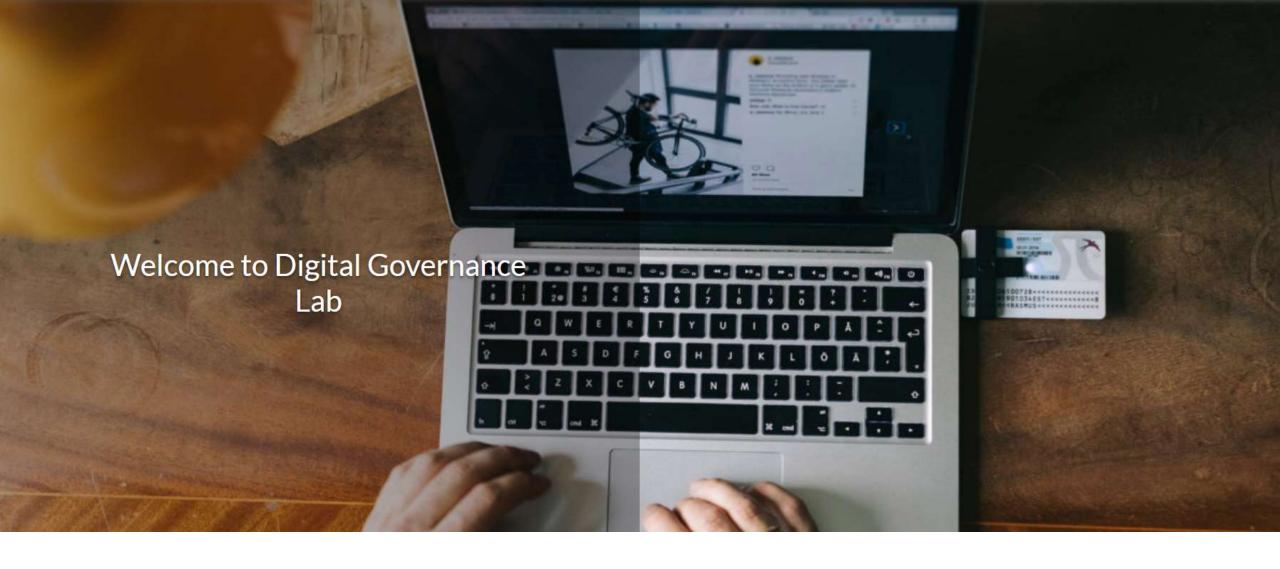
- Risk management
- International cooperation
  - Operational information exchange and exercises
- Cross-agency cooperation
- Last mile in the EU context



NEW METHODOLOGY CALCULATING COST-EFFICIENCY OF VOTING CHANNELS: IS INTERNET VOTING CHEAPER IN ESTONIAN MUNICIPAL ELECTIONS?

ROBERT KRIMMER, DAVID DUENAS-CID & IULIIA KRIVONOSOVA

RAGNAR NURKSE DEPARTMENT OF INNOVATION AND GOVERNANCE, DIGIGOVLAB



www.digigovlab.ee



### **DIGIGOVLAB**

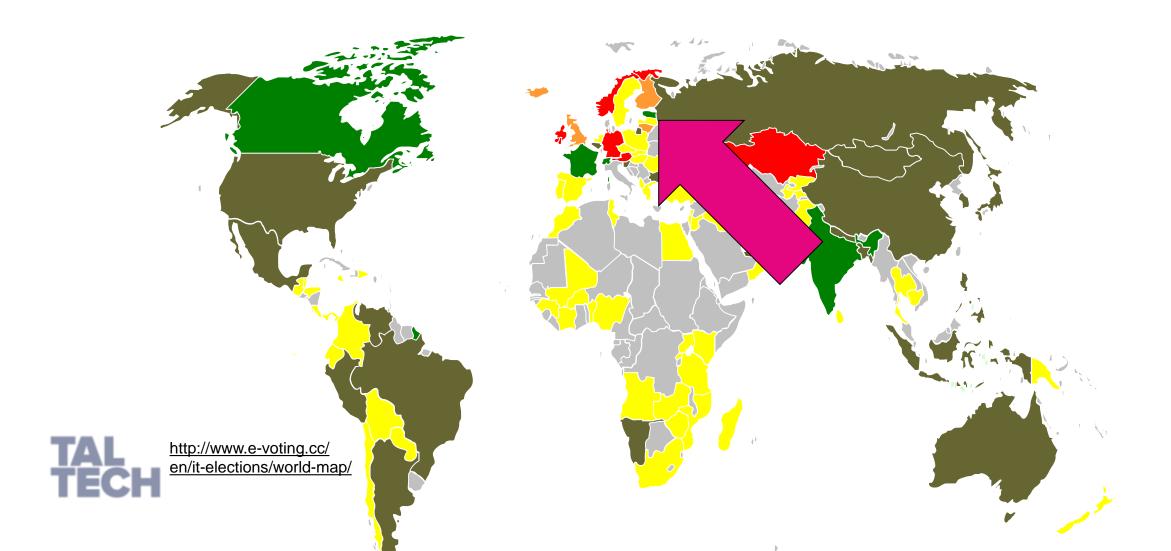
 Interdisciplinary Competency Center for Digital Government Research



- Internationally competitive, domestically relevant, leading center for user and practice driven research on digital government
- Develop and coordinate a collaborative and cooperative ecosystem for digital government research among Estonian universities
- Open for collaboration internationally

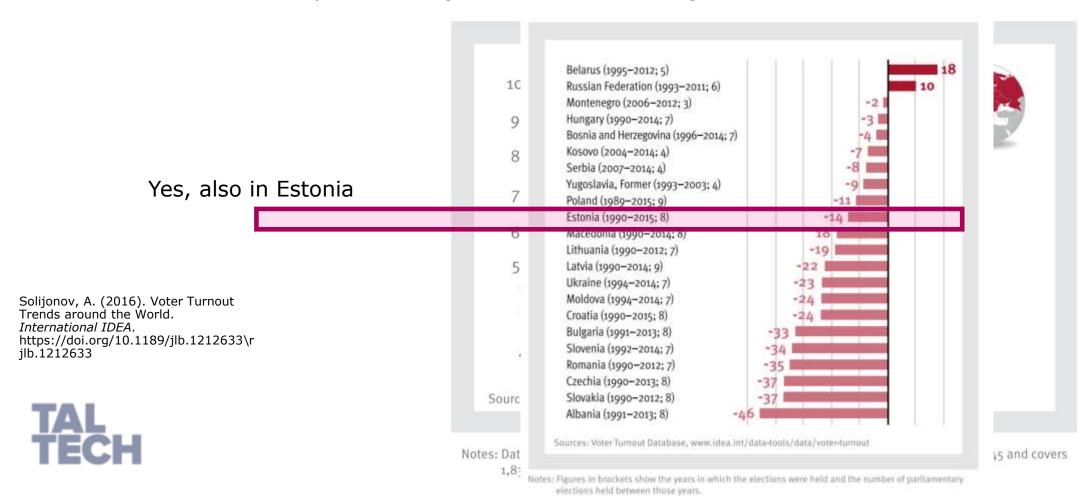


# **Worldmap of E-Voting**



Reason 1: Alternative Voting Systems to confront turnout decline

General tendency of declining turnouts around the globe



Reason 1: Alternative Voting Systems to confront turnout decline

General tendency of declining turnouts around the globe

Test and implementation of improvements to traditional Voting Systems, searching for the **voters' convenience** 

Adapting administrative rules and procedures to allow citizens to cast their votes in different moments during the voting period

Use of different voting channels to increase the convenience of voting

Complexity



Reason 2: Unsolved question in Estonia + Academia

Questions raised by Wolfgang Drechsler:

- 1) Are the effects of e-voting really beneficial for Democracy?
- 2) Will e-voting increase voter turnout?
- 3) How high are the costs really?

Drechsler, W. (2004). The Estonian e-Voting Laws Discourse: Paradigmatic Benchmarking for Central and Eastern Europe. *NISPAcee Occasional Papers*, V (2): 11-17



Reason 2: Unsolved question in Estonia + Academia

How high are the costs really?

Amongst those who tried: No proper method of calculation of costs provided successful results.

- Lack of capacity to calculate hidden costs (administrative costs) using budgets
- Lack of (need/will) to respond to questionnaires / budget information requirements
- 3) Lack of capacity to allocate costs of using public infrastructures

**Budget information** 

**Number of voters** 

Questionnaires about costs and processes



### **METHODOLOGY PROPOSED**

Business Process Reengineering (BPR).

Activity-Based Costing (ABC),
in particular, the use of Time-Driven ABC (TD-ABC)

Business strategy directed towards rethinking the way an organization functions by analyzing its internal workflows and business process

method that identifies and assigns costs to activities and then assigns those costs to products (votes in our case).

ABC model in which Time is considered to be the main cost driver.

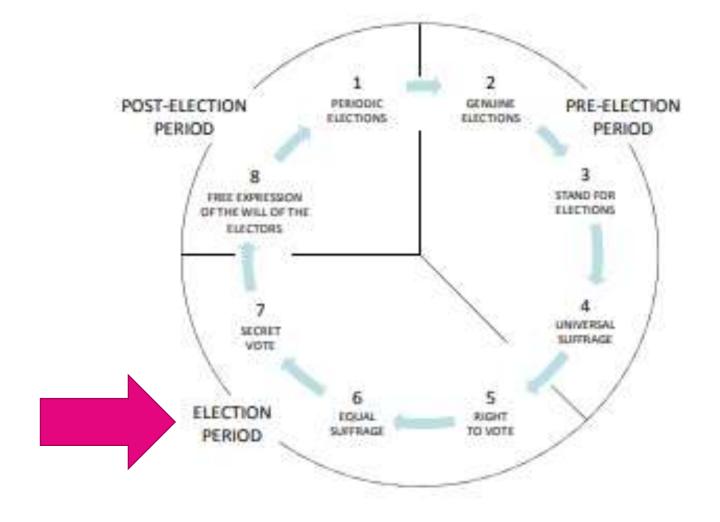


- 1. Narrowing the Electoral Cycle
- 2. Process Mapping, Business Process Modelling and Data Collection
- 3. Lists of Activities and Identifying Resource Pools
- 4. Attributing Costs
- 5. Transferring Costs



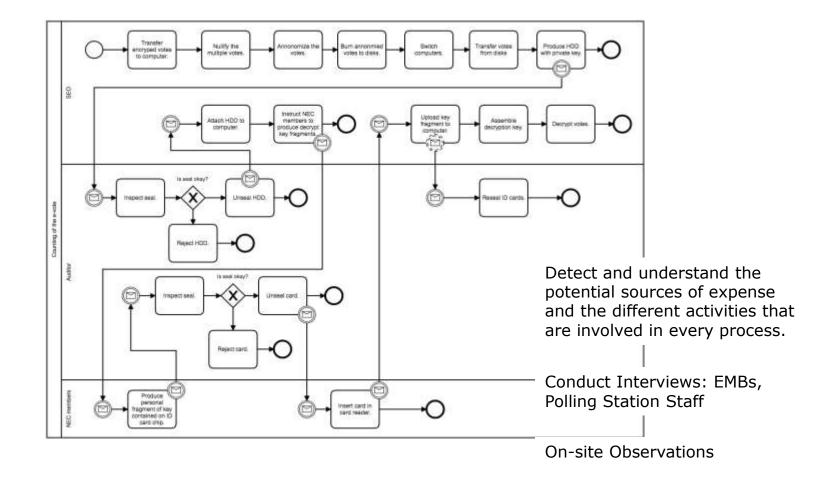
#### Narrowing the Electoral Cycle

Process Mapping, Business Process Modelling and Data Collection Lists of Activities and Identifying Resource Pools Attributing Costs Transferring Costs





Narrowing the Electoral Cycle
Process Mapping, Business
Process Modelling and Data
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Narrowing the Electoral Cycle Process Mapping, Business Process Modelling and Data Collection **Lists of Activities and Identifying Resource Pools** Attributing Costs Transferring Costs

Create separate lists of activities for each voting channel

List resource pools: labor, depreciation, transportation, rentals, printing, stationery

Transportation cost pool for County Centers					
Process N°	Activity	Description	Price per km	Distance in km	Cost (Price per km * Distance in km
1	Delivery of equipment	by 2017	0,15	24.276,4	3.641,46
4	Voter identification at voters' location (home voting)	standard 0,15€ per km	0,15	16.470	2,470,5
6	Ballots' transportation for recounting		0,15	16.470	2,470,5
	Subtotal				8.582,46



Transferring Costs

Narrowing the Electoral Cycle Process Mapping, Business Process Modelling and Data Collection Lists of Activities and Identifying Resource Pools **Attributing Costs** 

Allocate costs from precise data or budgets

In cases where direct attribution is not possible, "time" is the cost driver: multiplying the time of a certain activity by the cost per minute

Labour Costs per County Centers					
Activity	Description	Activity	Total time in min per activity per all VDCs	Wage in euro per minute, Incl. taxes	Labour cost for employee for all VDCs
Delivery of equipment	One member per VDC to deliver equipment	Once per election; early, advance and election day voting	7.806	0,16	1.256,88
Stamping ballots before voting	One person per VDC to stamp ballots	Once per election; early, advance and election day voting	1.618	0,16	260,44
Setting the voting place (Installing voting booths, ballot boxes)	One person per VDC comes one day before the voting to set the voting place	Once per election; early, advance and election day voting	3.360	0,16	540,98



Narrowing the Electoral Cycle Process Mapping, Business Process Modelling and Data Collection Lists of Activities and Identifying Resource Pools Attributing Costs Transferring Costs

Range: 80% level of confidence for Price Estimates and time estimates



Calculation of Time required for "Producing" a Ballot (add time spent on every activity and divide it by number of ballots casted)

Calculation of Cost per Activity per Ballot (time spend on every activity multiplied by the cost per minute)

Calculation of Final Range of Costs (add every cost of every activity involved in a ballot)

Cost per ballot calculation				
	Early Voting	Advance Voting	Election Day Voting	
Delivery of equipment	0,45	0,45	0,46	
Stamping ballots before voting	0,26	0,26	0,28	
Setting the voting place (installing voting booths, ballot boxes)	0,26	0,26	0,28	
Voter identification Chairperson	0,78	0,78	0,79	
Voter identification VDC members	0,26	0,26	0,28	
Processing of advance votes from outside	0,36	0,36	0,29	
Counting of ballots	0,26	0,26	0,28	
Ballots' transportation for recounting	0,45	0,45	0,46	
Recounting	0,26	0,28	0,28	

# **FINDINGS**

# Calculation of cost-efficiency

Cost Range per Ballot (in €) for the Analysed Period				
	Min.	Max.		
Advance Voting in County Centers	5,48	5,92		
Advance Voting in Ordinary Polling Stations	16,24	17,36		
Early Voting in County Centers	5,83	6,30		
Election Day Voting in County Centers	4,97	5,58		
Election day Voting in Ordinary Polling Stations	2,83	3,01		
Internet Voting	2,17	2,26		



# **FINDINGS**

### Methodological considerations

TDABC allows to build a process of data collection ending in the comparison of cost-effectiveness of different voting channels

TDABC allows to unveil the most resource demanding activities that trigger cost expenditures.

The use of BPMNs allows the analysis of electoral processes and to consider its further redesign.

Direct observation helps to overcome some methodological challenges that previous researches faced: limited access to data, lack of coherent expenditure tracking





# THANK YOU FOR YOUR ATTENTION

robert.krimmer@taltech.ee, david.duenas@taltech.ee, iuliia.krivonosova@taltech.ee

# I-voting reliability from voters' perspective

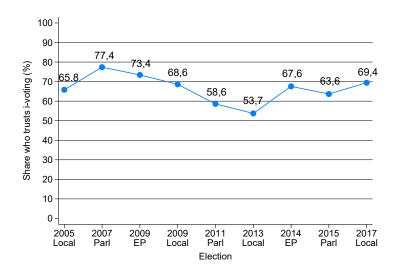
Johan Skytte Institute of Political Studies

March 2, 2019

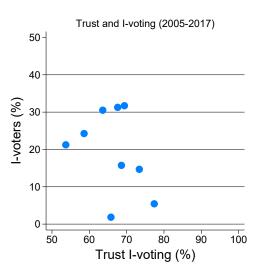




#### Trust level over time

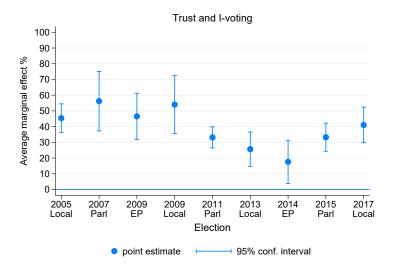


### No apparent association with usage on aggregate



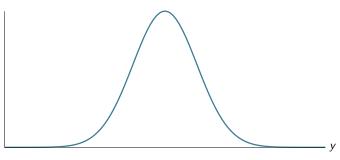
### Strong association at the individual level

▶ Explains i-voting probability very well  $Pr(y = 1|x) = F(x\beta)$ 



▶ What trust distributions usually look like

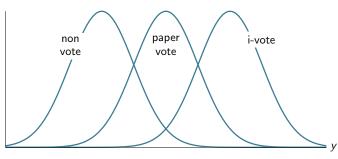
#### **Expected trust distribution**



Trust i-voting

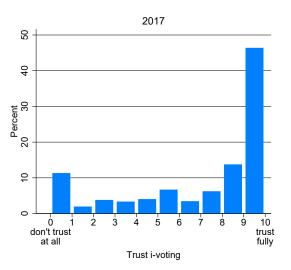
► How trust should drive i-voting:

#### **Expected trust distribution**

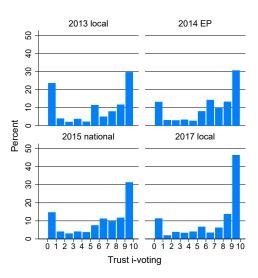


Trust i-voting

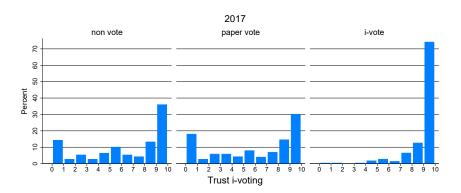
▶ What trust distribution actually looks like



Changes over the years

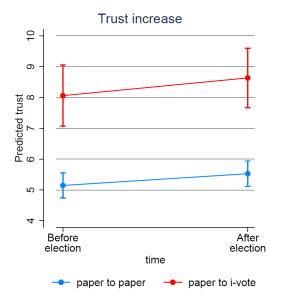


 Raises the question of trust as a precondition or result of experience



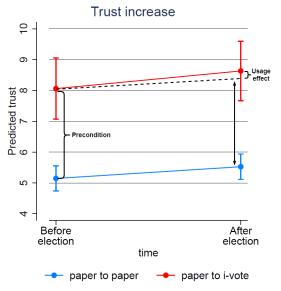
### Difference in difference from a panel in 2014

▶ Run a dif-dif estimation:  $Y_{it} = \alpha + \beta T_{it}t + \gamma T_{it} + \pi t + \epsilon_{it}$ 



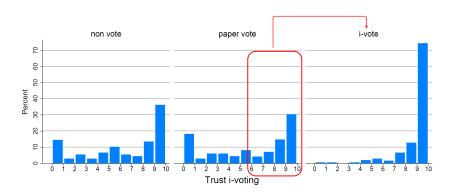
### Difference in difference from a panel in 2014

▶ Run a dif-dif estimation:  $Y_{it} = \alpha + \beta T_{it}t + \gamma T_{it} + \pi t + \epsilon_{it}$ 





- ▶ Trust is a precondition, user experience adds very little
- ▶ People with high pre-existing trust self-select into i-voting



# Landscape of Political Parties in Estonia – Past and Present

#### Martin Mölder

University of Tartu

Johan Skytte Institute of Political Studies

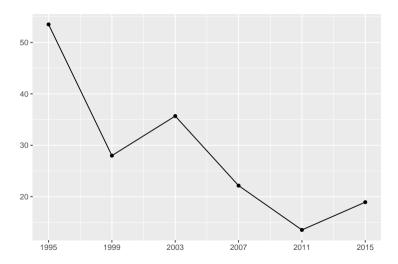
2. March 2019

#### Overview

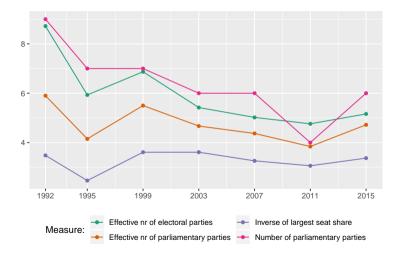
- Party system institutionalisation.
  - Stability of voters preferences.
  - Number of parties.
  - Party interaction in government.
  - Turnover of MPs.
  - Evolution of party manifestos.
- ► The political landscape.
  - Party manifestos in 2015.
  - Voters' perceptions and locations.
  - Candidate space.
  - Manifestos at the 2019 election.

Martin Mölder 1/1

# **Electoral Volatility**

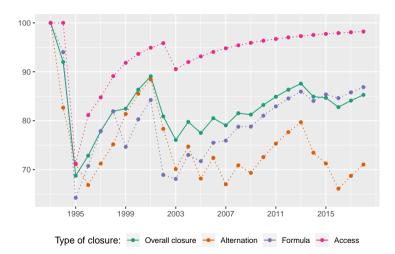


#### **Number of Parties**



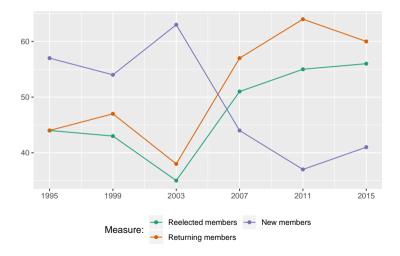
Martin Mölder 3/1

# Party System Closure



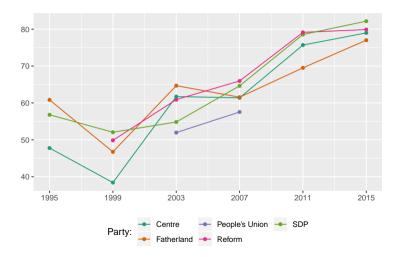
Martin Mölder 4/1

### Turnover of MPs



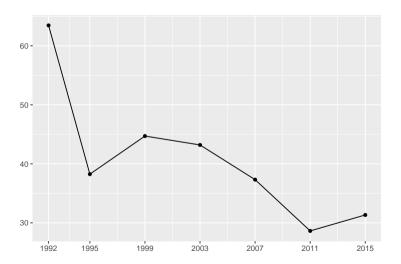
Martin Mölder 5/1

# Changes in Party Manifestos

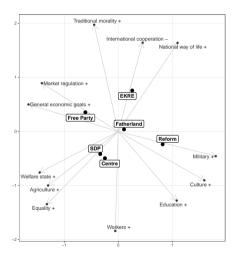


Martin Mölder 6/1

#### Manifesto Differences Between Parties

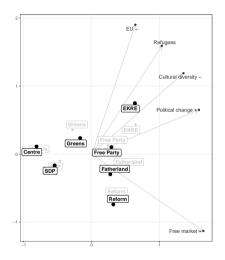


### Party Manifestos at the 2015 Election



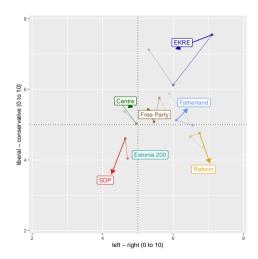
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# Voters Perceptions of Parties in 2018



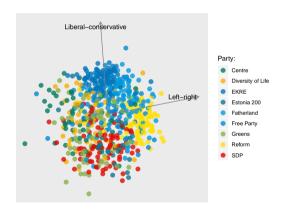
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# Ideological Landscape of Voters 2016-2018



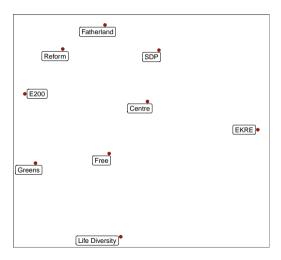
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#### Political Differences Between Candidates at the 2019 Election



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### Party Manifestos at the 2019 Election



Martin Mölder 12 / 1